

## PART B - FEE(S) TRANSMITT

Complete and send this form, together with applicable fee(s), to: **Mail**

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**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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23364 7590 08/27/2004

**BACON & THOMAS, PLLC**  
**625 SLATERS LANE**  
**FOURTH FLOOR**  
**ALEXANDRIA, VA 22314**

Attn: Benjamin E. Urcia  
 11/03/2004 NGUYEN2 00000040 09867454

01 FC:2501 685.00 OP  
 02 FC:1504 300.00 OP



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**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

|                    |
|--------------------|
| (Depositor's name) |
| (Signature)        |
| (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/867,454      | 05/31/2001  | Kwok-Yan Leung       | LEUN3002/EM/6850    | 6601             |

**TITLE OF INVENTION:** SOCKET TOOL HAVING DATA TRANSFER CONTROL CODES, FILE TRANSFER CONTROL CODES, AND DATA AND FILE TRANSFER PROGRESS INDICATION CODES FOR CONNECTION AND COMMUNICATION OF SQL APPLICATION

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE    | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|--------------|-----------------|------------------|------------|
| nonprovisional | YES          | \$605 \$685. | \$300           | \$905 \$985. -   | 11/29/2004 |

| EXAMINER         | ART UNIT | CLASS-SUBCLASS |
|------------------|----------|----------------|
| EL HADY, NABIL M | 2154     | 709-232000     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 \_\_\_\_\_  
 2 **Bacon & Thomas, PLLC**  
 3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number **02-0200** (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date **11/2/04**Typed or printed name **Benjamin E. Urcia**Registration No. **33,805**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: KWOK-YAN LEUNG

SERIAL NO.: 09/867,454

FILED: May 31, 2001

FOR: SOCKET TOOL HAVING DATA TRANSFER  
CONTROL CODES, FILE TRANSFER CONTROL  
CODES, AND DATA AND FILE TRANSFER  
PROGRESS INDICATION CODES FOR  
CONNECTION AND COMMUNICATION OF SQL  
APPLICATION

GROUP ART UNIT: 2154

EXAMINER: Nabil M. EL HADY

ATTY. REFERENCE: LEUN3002/BEU

COMMISSIONER OF PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

- |  |   |
|--|---|
| <input type="checkbox"/> Declaration       | <input checked="" type="checkbox"/> Issue Fee Transmittal - Part B                  |
| <input type="checkbox"/> Priority Document | <input checked="" type="checkbox"/> Check \$985 (\$685 - IF/\$300 -Publication Fee) |
| <input type="checkbox"/> Formal Drawings   | <input type="checkbox"/> Application Data Sheet                                     |
|  | <input type="checkbox"/>  |

☒ Please debit or credit Deposit Account Number 02-0200 for any deficiency or surplus in connection with this communication. A duplicate copy of this sheet is provided for use by the Deposit Account Branch.

☐ Small Entity Status is claimed.

☐

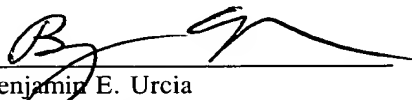
23364

Customer Number

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DATE: November 2, 2004

Respectfully submitted,

  
Benjamin E. Urcia  
Attorney for Applicant  
Registration Number: 33,805